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|--|---|---|
| FORM PTO-1449<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>LIST OF REFERENCES CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) | ATTORNEY DOCKET NO.<br>1572.1145        | APPLICATION NO.<br><del>Unassigned</del> 10/677-367 |
|  | FIRST NAMED INVENTOR<br>Jang-hyoun YOUM |   |
|  | FILING DATE<br>October 3, 2003          | GROUP ART UNIT<br><del>Unassigned</del> 2837        |

### U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |    | DOCUMENT NO. | DATE      | NAME          | CLASS | SUB-CLASS | FILING DATE |
|------------------|----|--------------|-----------|---------------|-------|-----------|-------------|
| Sp               | AA | 5,814,954    | 9/29/1998 | Suzuki et al. |       |           |             |
|                  | AB |              |           |               |       |           |             |

### FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT NO. | DATE       | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |    |
|----|----|--------------|------------|---------|-------|-----------|-------------|----|
|    |    |              |            |         |       |           | YES         | NO |
| Sp | AC | 62-25884     | 2/3/1987   | Japan   |       |           |             | X  |
| Sp | AD | 62-28569     | 2/6/1987   | Japan   |       |           |             | X  |
| Sp | AE | 62-18168     | 1/27/1987  | Japan   |       |           |             | X  |
| Sp | AF | 64-31529     | 2/1/1989   | Japan   |       |           |             | X  |
| Sp | AG | 1-133583     | 5/25/1989  | Japan   |       |           |             | X  |
| Sp | AH | 2-12398      | 1/17/1990  | Japan   |       |           |             | X  |
| Sp | AI | 93-24261     | 12/22/1993 | Korea   |       |           |             | X  |
| Sp | AJ | 20-176401    | 10/24/1996 | Korea   |       |           |             | X  |
| Sp | AK | 0 773 623 A1 | 5/14/1997  | Europe  |       |           | X           |    |
| Sp | AL | 1998-45440   | 9/25/1998  | Korea   |       |           |             | X  |
| Sp | AM | 10-285450    | 9/25/1998  | Korea   |       |           |             | X  |
| Sp | AN | 11-206184    | 7/30/1999  | Japan   |       |           |             | X  |

|  |                            |
|--|----------------------------|
| EXAMINER<br><i>Pamela Sp</i>   | DATE CONSIDERED<br>8/31/04 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                            |

## ATTACHMENT 1(e)

|  |   |   |
|--|---|---|
| <b>EXPLANATIONS OF RELEVANCY<br/>OF REFERENCES</b> | ATTORNEY DOCKET NO.<br>1572.1145        | APPLICATION NO.<br><i>19677, 367</i><br><del>Unassigned</del> |
|  | FIRST NAMED INVENTOR<br>Jang-hyoun YOUM |   |
|  | FILING DATE<br>October 3, 2003          | GROUP ART UNIT<br><i>2837</i><br><del>Unassigned</del>        |

|           |              |            |        |
|-----------|--------------|------------|--------|
| <i>Op</i> | 62-25884     | 2/3/1987   | Japan  |
| <i>Op</i> | 62-28569     | 2/6/1987   | Japan  |
| <i>Op</i> | 62-18168     | 1/27/1987  | Japan  |
| <i>Op</i> | 64-31529     | 2/1/1989   | Japan  |
| <i>Op</i> | 1-133583     | 5/25/1989  | Japan  |
| <i>Op</i> | 2-12398      | 1/17/1990  | Japan  |
| <i>Op</i> | 93-24261     | 12/22/1993 | Korea  |
| <i>Op</i> | 20-176401    | 10/24/1996 | Korea  |
| <i>Op</i> | 0 773 623 A1 | 5/14/1997  | Europe |
| <i>Op</i> | 1998-45440   | 9/25/1998  | Korea  |
| <i>Op</i> | 10-285450    | 9/25/1998  | Korea  |
| <i>Op</i> | 11-206184    | 7/30/1999  | Japan  |

The foreign references listed above relate to a device for inrush current prevention and dynamic braking in a motor.

*Paul Op*

*8/31/04*